

1. FACILITY INFORMATION:

School Name:

Facility Code:

Address:

Facility Type: (Check below all that apply)

IHL NCD Accredited Non-Accredited Public

Private Non-Profit Private For-Profit

2. RBS DATE:

3. APPROVAL INFORMATION:

Effective Date:	
Last Compliance Survey Date:	
Last Risk Based Survey Date:	

4. ENROLLMENT INFORMATION:

Current Total Enrollment:	
Current DVA Enrollment:	
Total Enrollment for Previous Academic/Calendar Year:	
Total DVA Enrollment for Previous Academic/Calendar Year:	

5. REASON FOR RBS: RISK-BASED SURVEY FINDINGS

Section A. Risk Assessments and Requirements outlined in P.L. 116-315, Section 1013 and P.L. and 115-48, Section 310. NOTE: Any "Yes" answer in Section A indicates a potential risk and may require additional action.

	YES	NO	N/A	
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	School experienced a significant increase in student or veteran enrollment
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	School experienced a significant increase in tuition and fees.
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Complaints available through the GI Bill comparison tool are outstanding and unresolved.
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Approved programs offered by the facility enrolls more than 85 percent eligible veterans [38 CFR 21.4201].
5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Veteran completion rates are below the average completion rate of all students attending the school.
6.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Facility is not financially stable and fails to demonstrate financial responsibility/soundness.
7.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Advertising and recruiting materials reviewed make substantial misrepresentations, are misleading or deceptive, and do not meet SAA requirements [38 CFR 21.4252 (h) and 38 USC 3696].
8.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	The facility has received notice of, or settled any state or federal investigation, and/or has been a party to such an investigation in the past ten years.
9.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	The facility has been the subject of an investigation by a state or federal regulator but has entered into a settlement or compliance decree and meets approval standards with regard to the issues identified in the investigation.

Section B. Additional Approval/Compliance Requirements.**Note: Any "No" answer in Section B may require additional approval action.**

	YES	NO	N/A	
1.				The facility provided all requested records including the records and accounts for VA beneficiaries and other students for examination [38 CFR 21.4209].
2.				Beneficiaries are enrolled in and pursuing approved programs as certified [38 CFR 21.4250(b)].
3.				Attendance and standards of progress policy (i.e. warning, probation, suspension, termination) records are adequate and approval requirements are met [38 CFR 21.4253(d); 21.4254(c)]
4.				Admissions policies and credit for prior training records are adequate and approval requirements are met [38 CFR 21.4253(d); 21.4254(c)].
5.				Any, and all, deficiencies identified by accreditors have been addressed [38 CFR 21.4253(a)(1)].
6.				Programs offering licensure or certification meet the instructional curriculum, clinical, licensure or certification requirements of the state (i.e. student is eligible for any required training, experience, or examination, required to obtain the license and/or certification) [38 USC 3679 (d)].
7.				Licensing pass rates for programs requiring licensure are equal to or above the state average [38 CFR 21.4253(d)(6)], 21.4254(c)(1)].
8.				Job placement rates are equal to or above the state average [38 CFR 21.4253(d)(6)], 21.4254(c)(1)].
9.				The facility has corrected issues identified on prior risk-based surveys or approval reviews, and deficiencies have not re-emerged. [38 CFR 21.4210(d)(2)].
10.				Additional issues identified have been satisfactorily resolved and meet approval standards. [38 CFR 21.4253(d), 21.4254(c)(14)].

- Explain all "Yes" responses in "**Section A of Risk-Based Survey Findings**"
 - "Yes" responses may require corrective actions.
 - List actions taken to eliminate or correct the associated risk.
 - Corrective action may require further actions as deemed appropriate by the SAA and VA.
- Risks identified may include but are not limited to the following:
 - Unaddressed student complaints or pattern of practices of concern identified in student complaints or interviews
 - Rapid change in enrollment or cost not addressed in review.
 - Inaccurate, misleading or deceptive advertising and/or recruiting materials including substantial misrepresentations (including materials of third party contractors).
 - School lacks sufficient cash reserves or otherwise fails to demonstrate financial soundness/stability; quality concerns identified (lower than average completion rates, student earnings, investment in instruction, or job placement compared to state averages) and/or issues identified in site visit;
 - School is under review or investigation by accreditors or other state or federal regulators.
 - School is at risk of enrolling more than 85 percent veterans in a program or receiving 90 percent or more of revenues from federal benefit programs.
- Explain all "No" responses in the "**Section B of Risk-Based Survey Findings**". "No" responses may require corrective and/or approval actions.

7. SUMMARY OF FINDINGS:

Risk Identified	
Finding	
Recommendation	

Risk Identified	
Finding	
Recommendation	

Risk Identified	
Finding	
Recommendation	

8. SAA/VA ACTIONS:

SAA External Referrals: (Check all that may apply)
<input type="checkbox"/> State Attorney General
<input type="checkbox"/> State Higher Education Oversight Entity
<input type="checkbox"/> State Licensing Board/Agency
<input type="checkbox"/> Accreditor(s)
<input type="checkbox"/> VA (VA Central Office)

VA External Referral Recommendations: (Check all that may apply)
<input type="checkbox"/> Department of Education
<input type="checkbox"/> Federal Trade Commission
<input type="checkbox"/> Consumer Financial Protection Bureau
<input type="checkbox"/> VA OIG
<input type="checkbox"/> Department of Defense
<input type="checkbox"/> Securities Exchange Commission

SAA Action:	
SAA Comments and Recommendations:	

Date Signed:	Signature of Reviewer
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