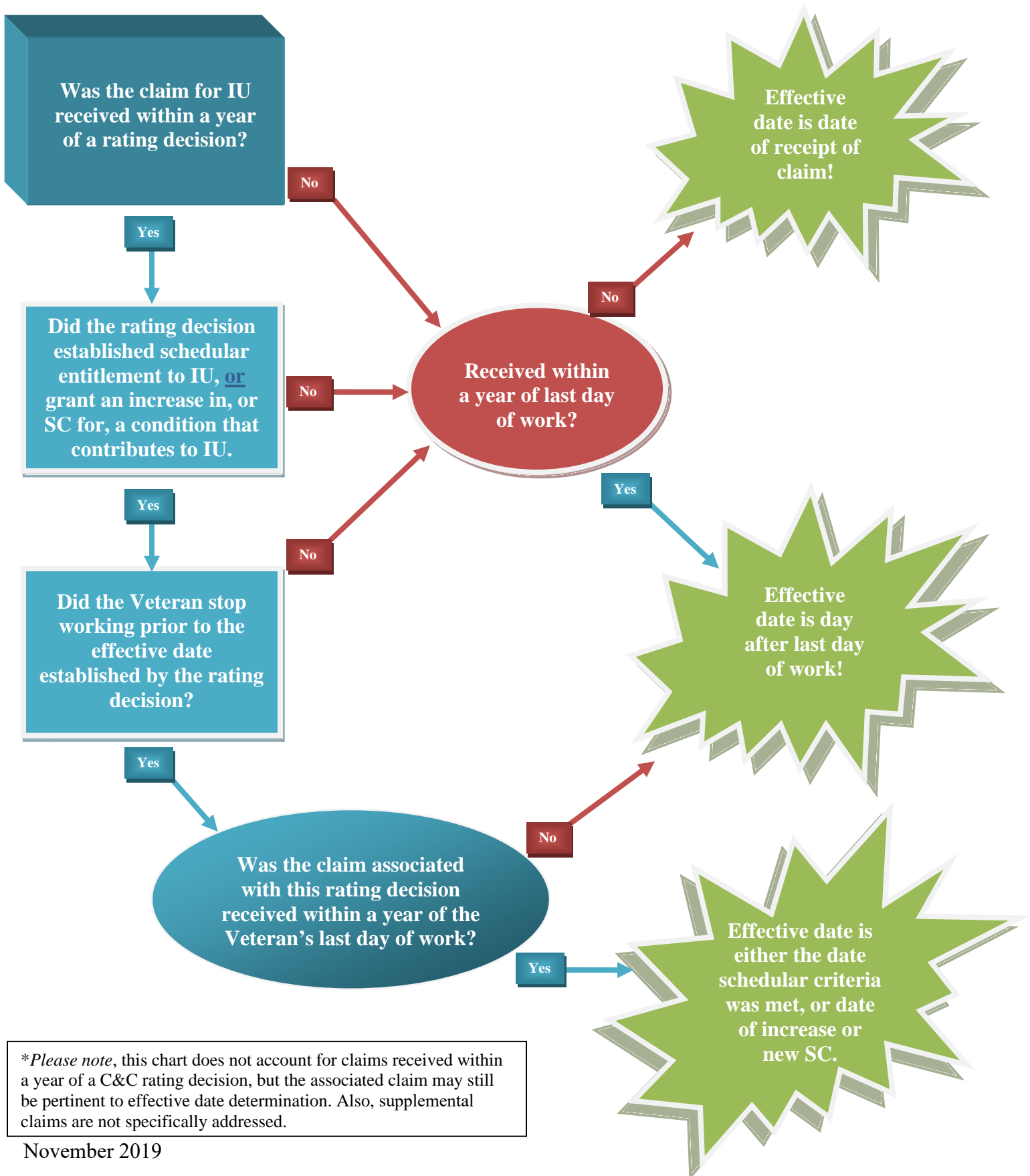


DETERMINING THE EFFECTIVE DATE: FLOW CHART

This chart should only be referred to once you have everything of record and have already determined that you are granting IU. It is a *guide* to assist you in determining the correct effective date. Follow the **blue** arrows for **yes** answers, and the **red** for **no**.



**Please note, this chart does not account for claims received within a year of a C&C rating decision, but the associated claim may still be pertinent to effective date determination. Also, supplemental claims are not specifically addressed.*

DETERMINING THE EFFECTIVE DATE: FILLABLE CHART & GUIDE

Identify the type of claim it is, and the corresponding regulations for effective date determination. *Please note*, these charts are **only** applicable if you have already determined that you are granting IU.

Identify the type of claim	Regulation considerations
Original	§3.400(b)(2), and §3.114, or <i>PL 112-154, Section 506</i> .
New	§3.400(b)(2), and §3.114.
Increase	§3.400(o), and §3.114
Reopen	§3.400(r), and §3.114
Reconsideration	§3.400(q), and §3.114.

Then, fill in the following dates:

Identify the following

Date of intent to file (ITF) (*if applicable*)

Date of receipt of claim for IU

Date of Veteran's last rating decision (*if applicable*)

Date the Veteran met the schedular (or extra-schedular) criteria

If rated within the last year: effective date(s) of grant(s) of increase(s), or SC, for condition(s) effecting employability

Date the Veteran last maintained substantially gainful employment

Answer the following questions.

1. Was this claim for IU received within a year of a rating decision? Yes or No

If No, proceed to 2.

- a. If yes, did this rating decision establish schedular (or extra-schedular) entitlement to IU, or grant an increase, or SC for, a condition that contributes to IU? Yes or No

If No, proceed to 2.

- b. If yes, did the Veteran stop working prior to the effective date established by the rating decision? Yes or No

If No, proceed to 2.

- c. If yes, the effective date will be either the date the Veteran met schedular (or extra-schedular) criteria, or the date of grant of increase or SC, depending on the facts of your case and the conditions supporting the grant/basis of IU.

2. Was the claim received within a year of the last day the Veteran worked? Yes or No

- a. If yes, the effective date will be the day after the Veteran's last day of work.

- b. If no, the effective date will be date of receipt of claim.