

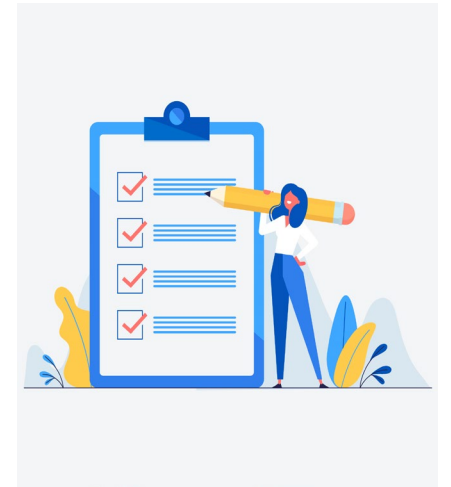
Automated Decision Support All Automation Eligible Contentions Training



Presented By:
Office of Production Optimization

Learning Objectives

- Learn about Automated Decision Support
- Define the key terms of Automated Decision Support
- Identify an Automated Review Summary Document
- Recognize the components of the Automated Review Summary Document
- Review Examinations on Automated Decision Support Claims
- Understand the Interim Quality Assurance Program
- Know Helpful Tips and Tricks



Automated Decision Support Background

- VBA has prioritized the leveraging of available technology to automate specific areas of development.
- The goal of Automated Decision Support is to improve timeliness and efficiency and prevent future backlogs in claims processing.
- Technology, data and employee feedback was leveraged to automate administrative tasks and reduce burden for VBA employees.
- Automated Decision Support will result in:
 - ✓ *Faster more accurate and consistent decisions*
 - ✓ *Creating a better user experience for processors*
 - ✓ *Enhanced customer experience for Veteran's and their beneficiaries*



Ready for Decision and Automated Exam Functionality

Ready for Decision

- Medical evidence related to claimed conditions will be identified and compiled from Veterans' electronic health records
- Medical evidence within Veterans' VBMS eFolders will be identified and compiled
- Military service and medical evidence necessary to decide the claim will be intelligently indexed within the Automated Review Summary Document (ARSD)

Automated Examinations

- When there is not enough medical evidence to decide the claim, an automated examination request will be submitted through the VBMS Exam Management System
- Automated Review Summary Documents (ARSDs) will be associated with Veterans' VBMS eFolders to allow examiners to quickly identify and review evidence relevant to the examination
- Intelligently indexed records within the ARSD will enable examiners to complete more Acceptable Clinical Evidence (ACE) examinations



EVIDENCE REVIEW SUMMARY

Veteran's Name:	[REDACTED]
VA File Number:	[REDACTED]
Date of Evidence Review:	08/11/2022

Claims Processors: The Evidence Review Summary is intended to be used as a tool to efficiently identify documents in the Veteran's VBMS eFolder to support identifying possible military service related to presumptive conditions (if applicable to the contention(s)) and possible evaluation criteria.

In certain circumstances, Automation may be unable to download all relevant documents. Please consider the potential for unreviewed evidence contained in the documents listed in the Relevant Documents Unavailable for Automated Review table found at the end of this summary, as well as the totality of the Veteran's record.

Table of Contents

Relevant Military Service Locations	2
Condition: Migraine	3
Schedular Evidence for Migraine	3
Other Medical Evidence for Migraine	3
Schedule for Rating Disabilities for Migraine	5

Condition: Rhinitis

Schedular Evidence for Rhinitis

KEY TERM(S)	OBSERVATION DATE	VBMS RECEIPT DATE	DOCUMENT	PAGE NUMBER(S)	DOCUMENT ID
Polyps	6/28/2022	8/10/2022	CAPRI	2	{C4FFCBC1-807E-4C34-BC21-6369529D5B06}
Nasal Cavity	7/28/2021	3/1/2022	Medical Treatment Record - Non-Government Facility	5	{5030F0C9-822F-44C2-818B-2EDAB14123C7}
Enlargement	5/26/2021	8/10/2022	CAPRI	37	{C4FFCBC1-807E-4C34-BC21-6369529D5B06}
Enlargement	5/26/2021	6/21/2021	CAPRI	20	{D866997C-A042-4662-8602-45F2064D32BA}
Enlargement	2/13/2019	8/10/2022	CAPRI	8, 17	{3C1DF8CC-C6EB-4802-8AA1-B23B7B4CA402}
Enlargement	2/13/2019	3/16/2022	CAPRI	8, 17	{1E3B8C56-AEF4-426E-BBC1-788A8E69DEA8}
Polyps	2/9/2018	3/16/2022	CAPRI	51	{1E3B8C56-AEF4-426E-BBC1-788A8E69DEA8}
Nasal Turbinates	2/8/2018	3/16/2022	CAPRI	45	{1E3B8C56-AEF4-426E-BBC1-788A8E69DEA8}
Polyps, Nasal	Non-confident date: **/**/2021	8/10/2022	CAPRI	2	{C4FFCBC1-807E-4C34-BC21-6369529D5B06}
Hypertrophy	No associated date	3/23/1971	Medical Treatment Record - Non-Government Facility	1	{5BFC5B81-2DCC-40B7-92F4-F35133E606EE}
Enlargement	No associated date	2/22/1971	VA Examination	2, 5	{F5DE8AA3-29F3-402B-BC48-A0651F05A384}
Hypertrophy	No associated date	2/22/1971	VA Examination	3	{F5DE8AA3-29F3-402B-BC48-A0651F05A384}

Other Medical Evidence for Rhinitis

KEY TERM(S)	OBSERVATION DATE	VBMS RECEIPT DATE	DOCUMENT	PAGE NUMBER(S)	DOCUMENT ID
Allergy	8/8/2018	3/16/2022	CAPRI	35, 43	{1E3B8C56-AEF4-426E-BBC1-788A8E69DEA8}
Nasal Congestion	8/8/2018	3/16/2022	CAPRI	44	{1E3B8C56-AEF4-426E-BBC1-788A8E69DEA8}
Nasal Spray	8/8/2018	3/16/2022	CAPRI	34	{1E3B8C56-AEF4-426E-BBC1-788A8E69DEA8}
Rhinitis	2/8/2018	3/16/2022	CAPRI	44	{1E3B8C56-AEF4-426E-BBC1-788A8E69DEA8}
Rhinorrhea	2/10/2006	6/21/2021	CAPRI	213	{D866997C-A042-4662-8602-45F2064D32BA}
Septoplasty	Non-confident date: **/**/2003	8/10/2022	CAPRI	1	{C4FFCBC1-807E-4C34-BC21-6369529D5B06}



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U.S. Department of Veterans Affairs

Automated Decision Support- Definitions

- **All Automated Eligible Claims:** all contentions on the claim are eligible for automation
- **Multi-Issue Claims:** one or more automation eligible contentions AND one or more non-automation eligible contentions
- **Automated Review Summary Document (ARSD):** decision support document in the Veteran's e-Folder, documenting military service, relevant medical evidence, other medical evidence, and rating schedule
- **Draft Exam:** examination is drafted, as there are multiple non-automated contentions requiring review





Identifying an Automated Decision Support Claim and User Role Responsibility



Identifying an Automated Decision Support Claim

- Every claim that has been through ADS will have a **Rapid RFD** Special Issue attached to it.
- On multi-issue automation claims, the **Automated APC** Special Issue will be attached to it.
- Additionally, a claim that has gone through automation will have the **ARSD** listed in the Veteran's eFolder



User Role Responsibility

- ADS assists claims processors in making fast, accurate, and consistent decisions for Veterans. VA employees can expect to see the ARSD in the eFolder and draft examinations generated for eligible claims.
- ADS plays a role for each VBA employees processing claims:
 - **Veterans Service Representative:** VSRs may see draft examinations in VBMS and are required to take the appropriate steps in ordering an exam. VSRs are responsible for confirming if an ADS claim requires additional development actions.
 - **Rating Veterans Service Representative:** RVSRs are responsible for reviewing relevant evidence and making a decision on the Veterans claim. RVSRs can utilize the ARSD as a decision support tool to assist with quickly identifying evidence and locating key terms to help with their final decision.
 - **Quality Review Specialist:** The QRS will begin seeing Individual Quality Reviews for claims impacted by automation. They should continue following current review policies and procedures for these claims.



Automated Review Summary Document

- There are two ways an ARSD is generated in the eFolder:
 - Mail Automation Services (MAS)
 - VA.gov
- VA.gov generates an ARSD for **only** claims for increase on Hypertension and Asthma claims
- All other automation eligible claims are sent to MAS for establishment







Automated Review Summary Document established through Mail Automation Services



Automated Review Summary Document-MAS

- The ARSD is a tool used to efficiently identify documents in the Veteran's eFolder to assist claims processors in their review
- It supports identifying possible military service related to presumptive conditions (if applicable to the contention) and possible evaluation criteria
- The ARSD is generated and uploaded to the Veterans eFolder only for contentions eligible for Automated Decision Support.

  U.S. Department of Veterans Affairs

EVIDENCE REVIEW SUMMARY	
Veteran's Name:	[REDACTED]
VA File Number:	[REDACTED]
Date of Evidence Review:	07/11/2022

Claims Processors: The Evidence Review Summary is intended to be used as a tool to efficiently identify documents in the Veteran's VBMS eFolder to support identifying possible military service related to presumptive conditions (if applicable to the contention(s)) and possible evaluation criteria.

In certain circumstances, Automation may be unable to download all relevant documents. Please consider the potential for unreviewed evidence contained in the documents listed in the Relevant Documents Unavailable for Automated Review table found at the end of this summary, as well as the totality of the Veteran's record.

Table of Contents

Relevant Military Service Locations	2
Condition: Rhinitis	3
Schedular Evidence for Rhinitis	3
Other Medical Evidence for Rhinitis	4
Schedule for Rating Disabilities for Rhinitis	4
Condition: Sinusitis	5
Schedular Evidence for Sinusitis	5
Other Medical Evidence for Sinusitis	6
Schedule for Rating Disabilities for Sinusitis	21
Relevant Documents Unavailable for Automated Review	22



Automated Review Summary Document-MAS

- The ARSD extracts relevant key data terms from specific document types within the eFolder to provide a summary of findings and relevant information for the claims processor to review
- Each diagnostic code has its own set of key terms related to the rating schedule, as well as a medical history (lab findings and other significant data)


Scheduler Evidence for Sinusitis						
KEY TERM(S)	OBSERVATION DATE	VBMS RECEIPT DATE	DOCUMENT	PAGE NUMBER(S)	DOCUMENT ID	
Headaches	10/7/2016	3/17/2017	CAPRI	18	{14997DEA-87F2-4896-B180-A995FCD0991F}	
Headaches	9/20/2016	7/22/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}	
Headaches	9/20/2016	7/21/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}	
Headaches	9/20/2016	4/27/2018	CAPRI	40	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}	
Headaches	2/6/2013	8/19/2014	VAMC Other Output Reports	20	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}	
Headaches	5/18/2012	7/22/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}	
Headaches	5/18/2012	7/21/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}	
Headaches	5/18/2012	4/27/2018	CAPRI	149	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}	
Headaches	5/18/2012	8/19/2014	VAMC Other Output Reports	35	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}	
Headaches	5/11/2012	7/22/2022	CAPRI	270	{5ED1949A-0498-4919-832E-18A40C164B99}	



Automated Review Summary Document-MAS

- The ARSD is generated and uploaded to the Veterans eFolder for conditions eligible for Automated Decision Support.
- It is displayed in the eFolder as "Automated Review Summary Document"

Veteran Documents - Showing 1-1 of 1 documents Last Op

<input type="checkbox"/>	Receipt Date ▼	Document Type ⇅	Subject ⇅	System Source ⇅	Source Comment ⇅	Uploading User Role ⇅
6d <input type="checkbox"/>	07/11/2022	<u>Automated Review Summary Document</u> 		IBM		IBM



Automated Review Summary Document-Table of Contents-MAS

- The ARSD is broken down by a Table of Contents
- Users can identify Relevant Military Service Locations and Relevant Documents Unavailable for Automated Review
- Each condition available for the ARSD is broken down by:
 - Schedular Evidence
 - Other Medical Evidence
 - Schedule for Rating Disabilities

Table of Contents

Relevant Military Service Locations	2
Condition: Rhinitis	3
Schedular Evidence for Rhinitis	3
Other Medical Evidence for Rhinitis	3
Schedule for Rating Disabilities for Rhinitis	3
Condition: Sinusitis	4
Schedular Evidence for Sinusitis	4
Other Medical Evidence for Sinusitis	5
Schedule for Rating Disabilities for Sinusitis	5
Relevant Documents Unavailable for Automated Review	5



- The Relevant Military Service Locations table identifies keywords associated with relevant military service for presumptive conditions found in the eFolder

Relevant Military Service Locations				
KEY TERM(S)	VBMS RECEIPT DATE	DOCUMENT	PAGE NUMBER(S)	DOCUMENT ID
Operation Iraqi Freedom	2/26/2017	Certificate of Release or Discharge From Active Duty (e.g. DD 214, NOAA 56-16, PHS 1867)	1	{182F6D4C-F1FD-4BF7-83A0-6A2ED324A3C4}
Kuwait	2/26/2017	Certificate of Release or Discharge From Active Duty (e.g. DD 214, NOAA 56-16, PHS 1867)	1	{182F6D4C-F1FD-4BF7-83A0-6A2ED324A3C4}
Iraq	2/26/2017	Certificate of Release or Discharge From Active Duty (e.g. DD 214, NOAA 56-16, PHS 1867)	1	{182F6D4C-F1FD-4BF7-83A0-6A2ED324A3C4}
Iraqi Freedom	2/26/2017	Military Personnel Record	1	{993070C1-8466-4C18-9817-E1F6F0976740}
Iraq	1/5/2015	Certificate of Release or Discharge From Active Duty (e.g. DD 214, NOAA 56-16, PHS 1867)	1	{2F6E4978-EE25-4C5F-8EFF-FA83241C2976}
Operation Iraqi Freedom	1/5/2015	Certificate of Release or Discharge From Active Duty (e.g. DD 214, NOAA 56-16, PHS 1867)	1	{2F6E4978-EE25-4C5F-8EFF-FA83241C2976}



- The hyperlink under the Schedule for Rating Disabilities table links users directly to the CFR hyperlink for the disability being addressed

Schedule for Rating Disabilities for Sinusitis

The following link is provided to the Schedule for Rating Disabilities under Title 38 Code of Federal Regulations (CFR) Chapter 1 Part 4: <https://www.ecfr.gov/current/title-38/chapter-I/part-4/subpart-B/subject-group-ECFR14fb86bcc86c2cb/section-4.97>



Automated Review Summary Document- Scheduling Evidence-MAS

- Scheduling Evidence represents the medical evidence that directly correlates with the CFR's Rating Schedule evaluation criteria

Scheduling Evidence for Sinusitis					
KEY TERM(S)	OBSERVATION DATE	VBMS RECEIPT DATE	DOCUMENT	PAGE NUMBER(S)	DOCUMENT ID
Headaches	10/7/2016	3/17/2017	CAPRI	18	{14997DEA-87F2-4896-B180-A995FCD0991F}
Headaches	9/20/2016	7/22/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	7/21/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	4/27/2018	CAPRI	40	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	2/6/2013	8/19/2014	VAMC Other Output Reports	20	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/18/2012	7/22/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	7/21/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	4/27/2018	CAPRI	149	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	5/18/2012	8/19/2014	VAMC Other Output Reports	35	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/11/2012	7/22/2022	CAPRI	270	{5ED1949A-0498-4919-832E-18A40C164B99}

Automated Review Summary Document- Other Medical Evidence-MAS

- Other Medical Evidence is medical evidence not directly related to the CFR Rating Schedule

Other Medical Evidence for Sinusitis

KEY TERM(S)	OBSERVATION DATE	VBMS RECEIPT DATE	DOCUMENT	PAGE NUMBER(S)	DOCUMENT ID
Allergies	11/29/2016	7/22/2022	CAPRI	142, 143	{5ED1949A-0498-4919-832E-18A40C164B99}
Allergies	11/29/2016	7/21/2022	CAPRI	142, 143	{5ED1949A-0498-4919-832E-18A40C164B99}
Allergies	11/29/2016	4/27/2018	CAPRI	31, 32	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Allergies	11/29/2016	3/17/2017	CAPRI	11, 12	{14997DEA-87F2-4896-B180-A995FCD0991F}
Allergies	3/21/2007	4/30/2014	STR - Medical - Photocopy	10	{D1B56206-61D9-4A7A-8DA0-A895EEF32CC7}
Sinusitis	3/21/2007	4/30/2014	STR - Medical - Photocopy	10	{D1B56206-61D9-4A7A-8DA0-A895EEF32CC7}
Allergy	10/17/2006	4/27/2018	CAPRI	186	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Allergy	10/13/2006	8/19/2014	VAMC Other Output Reports	65	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Allergy	9/25/2006	7/22/2022	CAPRI	309	{5ED1949A-0498-4919-832E-18A40C164B99}
Allergy	9/25/2006	7/21/2022	CAPRI	309	{5ED1949A-0498-4919-832E-18A40C164B99}

- The Relevant Documents Unavailable for Automated Review table identifies which documents were unavailable to be reviewed

Relevant Documents Unavailable for Automated Review

DOCUMENT	VBMS RECEIPT DATE	DOCUMENT ID
VA Examination	05/18/2021	{A1B2345-6789-1C23-D4E5-6FG789H1JJ20}



Automated Review Summary Document- Key Term(s)-MAS

- The Key Term(s) are a pre-defined list of qualifying terminology for a specific diagnostic code

Schedular Evidence for Sinusitis					
KEY TERM(S)	OBSERVATION DATE	VBMS RECEIPT DATE	DOCUMENT	PAGE NUMBER(S)	DOCUMENT ID
Headaches	10/7/2016	3/17/2017	CAPRI	18	{14997DEA-87F2-4896-B180-A995FCD0991F}
Headaches	9/20/2016	7/22/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	7/21/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	4/27/2018	CAPRI	40	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	2/6/2013	8/19/2014	VAMC Other Output Reports	20	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/18/2012	7/22/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	7/21/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	4/27/2018	CAPRI	149	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	5/18/2012	8/19/2014	VAMC Other Output Reports	35	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/11/2012	7/22/2022	CAPRI	270	{5ED1949A-0498-4919-832E-18A40C164B99}



Automated Review Summary Document- Observation Date-MAS

- The Observation Date is the date on which specific key term(s) were identified

Schedular Evidence for Sinusitis					
KEY TERM(S)	OBSERVATION DATE	VBMS RECEIPT DATE	DOCUMENT	PAGE NUMBER(S)	DOCUMENT ID
Headaches	10/7/2016	3/17/2017	CAPRI	18	{14997DEA-87F2-4896-B180-A995FCD0991F}
Headaches	9/20/2016	7/22/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	7/21/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	4/27/2018	CAPRI	40	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	2/6/2013	8/19/2014	VAMC Other Output Reports	20	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/18/2012	7/22/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	7/21/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	4/27/2018	CAPRI	149	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	5/18/2012	8/19/2014	VAMC Other Output Reports	35	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/11/2012	7/22/2022	CAPRI	270	{5ED1949A-0498-4919-832E-18A40C164B99}

Automated Review Summary Document- VBMS Receipt Date-MAS

- The VBMS Receipt Date corresponds with the Receipt Date column in the eFolder.

Schedular Evidence for Sinusitis					
KEY TERM(S)	OBSERVATION DATE	VBMS RECEIPT DATE	DOCUMENT	PAGE NUMBER(S)	DOCUMENT ID
Headaches	10/7/2016	3/17/2017	CAPRI	18	{14997DEA-87F2-4896-B180-A995FCD0991F}
Headaches	9/20/2016	7/22/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	7/21/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	4/27/2018	CAPRI	40	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	2/6/2013	8/19/2014	VAMC Other Output Reports	20	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/18/2012	7/22/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	7/21/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	4/27/2018	CAPRI	149	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	5/18/2012	8/19/2014	VAMC Other Output Reports	35	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/11/2012	7/22/2022	CAPRI	270	{5ED1949A-0498-4919-832E-18A40C164B99}



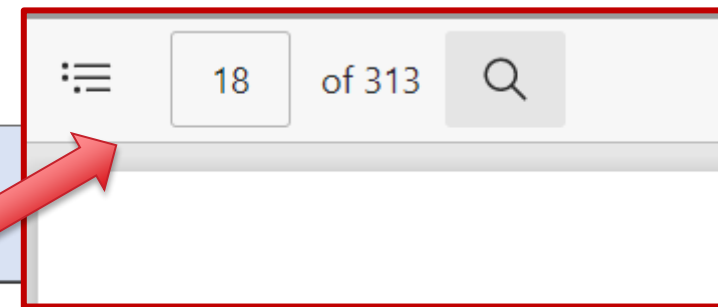
Automated Review Summary Document- Document Column-MAS

- The Document column identifies the Document in the eFolder containing the Key Term.

Schedular Evidence for Sinusitis					
KEY TERM(S)	OBSERVATION DATE	VBMS RECEIPT DATE	DOCUMENT	PAGE NUMBER(S)	DOCUMENT ID
Headaches	10/7/2016	3/17/2017	CAPRI	18	{14997DEA-87F2-4896-B180-A995FCD0991F}
Headaches	9/20/2016	7/22/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	7/21/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	4/27/2018	CAPRI	40	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	2/6/2013	8/19/2014	VAMC Other Output Reports	20	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/18/2012	7/22/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	7/21/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	4/27/2018	CAPRI	149	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	5/18/2012	8/19/2014	VAMC Other Output Reports	35	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/11/2012	7/22/2022	CAPRI	270	{5ED1949A-0498-4919-832E-18A40C164B99}

Automated Review Summary Document- Page Number-MAS

- The Page Number reflects the number in the document, not on the document



Schedular Evidence for Sinusitis					
KEY TERM(S)	OBSERVATION DATE	VBMS RECEIPT DATE	DOCUMENT	PAGE NUMBER(S)	
Headaches	10/7/2016	3/17/2017	CAPRI	18	{14997DEA-8712-4870-B180-A995FCD09911}
Headaches	9/20/2016	7/22/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	7/21/2022	CAPRI	152	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	9/20/2016	4/27/2018	CAPRI	40	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	2/6/2013	8/19/2014	VAMC Other Output Reports	20	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/18/2012	7/22/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	7/21/2022	CAPRI	269	{5ED1949A-0498-4919-832E-18A40C164B99}
Headaches	5/18/2012	4/27/2018	CAPRI	149	{FF9207FE-B146-4F2B-B82B-A5C26C024FEB}
Headaches	5/18/2012	8/19/2014	VAMC Other Output Reports	35	{BDD4E2E8-EEBA-4D4E-84E1-CC047EA1CD63}
Headaches	5/11/2012	7/22/2022	CAPRI	270	{5ED1949A-0498-4919-832E-18A40C164B99}



Automated Review Summary Document- Document ID-MAS

- The Document ID allows users to search for the specific document from the search bar in VBMS

KEY TERM(S)	NUMBER(S)	DOCUMENT ID
Operation Iraqi Freedom		{182F6D4C-F1FD-4BF7-83A0-6A2ED324A3C4}
Kuwait		{182F6D4C-F1FD-4BF7-83A0-6A2ED324A3C4}
Iraq		{182F6D4C-F1FD-4BF7-83A0-6A2ED324A3C4}
Iraqi Freedom		{993070C1-8466-4C18-9817-E1F6F0976740}
Iraq		{2F6E4978-EE25-4C5F-8EFF-FA83241C2976}
Operation Iraqi Freedom		{2F6E4978-EE25-4C5F-8EFF-FA83241C2976}

Veteran Person Claim **Document**

one of the following: File Number, File Name or Document ID. Document Type must be searched with a File Number.

File #

Doc Type

File Name

Or

Document ID

Automated Review Summary Document- Document ID-MAS

- Users can also locate the specific document using the Keyword filter within the eFolder

KEY TERM(S)	VBMS RECEIPT DATE		NUMBER(S)	DOCUMENT ID
Operation Iraqi Freedom	2/26/2017	Certificate of (e.g.		{182F6D4C-F1FD-4BF7-83A0-6A2ED324A3C4}
Kuwait	2/26/2017	Certificate of (e.g.		{182F6D4C-F1FD-4BF7-83A0-6A2ED324A3C4}
Iraq	2/26/2017	Certificate of (e.g.		{182F6D4C-F1FD-4BF7-83A0-6A2ED324A3C4}
Iraqi Freedom	2/26/2017			{993070C1-8466-4C18-9817-E1F6F0976740}
Iraq	1/5/2015	Certificate of (e.g.		{2F6E4978-EE25-4C5F-8EFF-FA83241C2976}
Operation Iraqi Freedom	1/5/2015	Certificate of (e.g.		{2F6E4978-EE25-4C5F-8EFF-FA83241C2976}

▶ Line of Business

▼ Keyword

Document ID

Remaining keywords: 28

▶ Associated Claims



Automated Review Summary Document established through VA.gov



Automated Review Summary Document-VA.gov

- The ARSD generated through VA.gov pulls health information from the Lighthouse Veterans Health API, which contains data from CDW/VistA (CAPRI).
- The ARSD is **only** generated in claims for increase on Hypertension and Asthma claims
- Health Information on the ARSD document includes:
 - Blood Pressure Readings
 - Medication Data for all related prescriptions



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- The ARSD for Hypertension contains recent Blood Pressure readings as well as active prescriptions.

One Year of Blood Pressure History
VHA records searched from 09/23/2021 to 09/23/2022
All VAMC locations using VistA/CAPRI were checked
Blood pressure is shown as systolic/diastolic.

Blood pressure: 136/88
Taken on: 09/19/2022 at 16:13 UTC
Location: N. CALIFORNIA HCS

Blood pressure: 154/94
Taken on: 06/28/2022 at 13:40 UTC
Location: N. CALIFORNIA HCS

Blood pressure: 124/79
Taken on: 05/17/2022 at 13:55 UTC
Location: N. CALIFORNIA HCS

Blood pressure: 139/87
Taken on: 05/05/2022 at 13:53 UTC
Location: N. CALIFORNIA HCS



- The ARSD for Asthma lists all active prescriptions.

Active Prescriptions

VHA records searched for medication prescriptions active as of 09/21/2022

All VAMC locations using VistA/CAPRI were checked

Important! Medications that *may* have been prescribed for asthma are marked with ► arrows ◀. Claims adjudicators should check the patient's medical records to see what the medication was prescribed for.
See "How we identify asthma prescriptions" at the end of this document for more details.

► **MOMETASONEFUROATE 100MCG/ACTUAT INHL,ORAL,120D,13GM** ◀

INHALE 2 PUFFS BY MOUTH TWICE A DAY . RINSE AND SPIT AFTER USE.; BID

Prescribed on: 04/05/2022 Duration: 30 days Refills: 3

Route: INHALATION ORAL

► **ALBUTEROL 90MCG/ACTUAT(CFC-F) INHL,ORAL,8.5GM DOSE COUNTER** ◀

INHALE 2 PUFFS BY MOUTH FOUR TIMES A DAY AS NEEDED FOR BREATHING/SHORTNESS OF BREATH. SHAKE WELL.

SPACE EACH INHALATION 1 MINUTE APART.; RESP/QID PRN

Prescribed on: 04/05/2022 Duration: 30 days Refills: 3

Route: INHALATION ORAL



Automated Review Summary Document-VA.gov

- Each document includes the Rating Schedule for the specific contention. Users can click on ***View Rating Schedule*** to go to the eCFRs for the full schedule.

Hypertension Rating Schedule

- 10% Systolic pressure predominantly 160 or more; or diastolic pressure predominantly 100 or more; or minimum evaluation for an individual with a history of diastolic pressure predominantly 100 or more who requires continuous medication for control
- 20% Systolic pressure predominantly 200 or more; or diastolic pressure predominantly 110 or more
- 40% Diastolic pressure 120 or more
- 60% Diastolic pressure 130 or more

[View rating schedule](#)



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Automated Review Summary Document-VA.gov

- At the end of the document, additional information is provided on how to use the documented evidence, as well as what medical data sources were not included.

Some medical data are not included in this PDF. Please check for additional readings and sources.

This summary does not check all sources of medical information for Veterans that are necessary for you to rate this claim accurately. You will need to check these sources manually to gather all available evidence.

Clinical notes. This data may not match all the medical evidence in CAPRI. For example, it may not find blood pressure readings entered into clinical notes.

Data outside of VAMC CAPRI or VistA. This report does not check the following sources:

- Private medical records
- VAMC data for clinics using CERNER EHR
- Department of Defense/JVL medical data
- Documents in the Veteran's VBMS eFolder



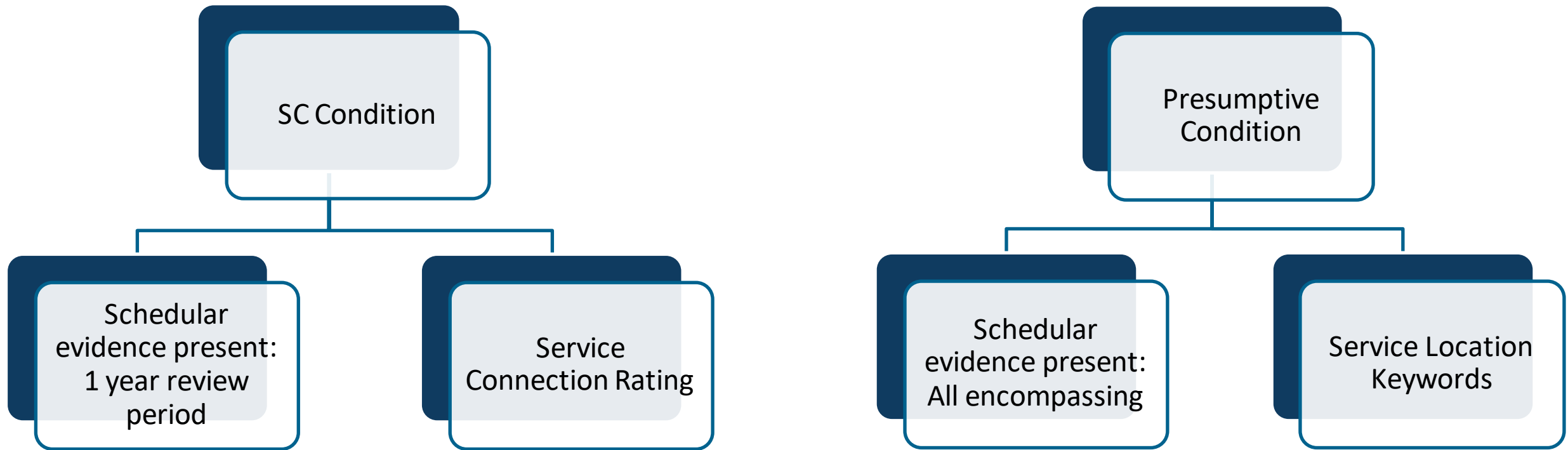


Examinations on Automated Decision Support Claims



Ordering Examinations on Automated Decision Support Claims

- Automated Decision Support applies automated logic, using key information to determine if an exam should be ordered, or if the claim is RFD.



Examination Request on Claim for Increase

- When an examination is ordered on a **Claim for Increase** with all automation eligible contentions, the logic set is that there was no schedular evidence available for review, or the evidence wasn't within the past year, and the contention is less than the maximum rating. If there is schedular evidence within the review period, or if the contention is at the maximum rating evaluation, the claim will be set to **RFD**.

Considerations		Conclusions
Schedular Evidence Within Review Period (1 year of ITF or Claim Creation) for condition	Service Connection Rating	Conclusion
Present	Maximum Rating	RFD
Present	Less than the Maximum Rating	RFD
Not Present	Maximum Rating	RFD
Not Present	Less than the Maximum Rating	Exam Ordered
Present, but not within Review Period	Less than the Maximum Rating	Exam Ordered



Examination Request on Presumptive Conditions



- When an examination is ordered on a **Presumptive Claim** with all automation eligible contentions, the logic set is that there was no schedular evidence available for review and eligible service location was identified. If service locations were identified and there is schedular evidence, the claim will be set to **RFD**. If neither can be identified, the claim will be set to **RFD**. If only schedular evidence is available, the claim will be set to **RFD**.

Considerations		Conclusions
Service Location Keywords Identified	Schedular Evidence Identified	Conclusion
Yes	Yes	RFD
No	No	RFD
Yes	No	Exam Ordered
No	Yes	RFD



Examination Request on Automated Decision Support Claim

- When all contentions attached to the claim established with Automated Decision Support technology are automation eligible, the **exam request** will be automatically submitted and listed within the Veteran's eFolder with the **ARSD**. These claims are recalled by the National Work Queue (station 499) with a 30-day suspense date.

<input type="checkbox"/>	Receipt Date ▾	Document Type ⇅	Subject ⇅	System Source ⇅
<input type="checkbox"/>	08/20/2022	Exam Request 	Exam Request	VBMS-UI
<input type="checkbox"/>	08/20/2022	Automated Review Summary Document 		IBM



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Examination Request on Multi-Issue Claims

- When a claim contains a combination of both automation eligible contention(s) and non-automation eligible contention(s) and automation determines based on the above logic that an examination is needed, the **ARSD** will be the only automation document listed within the Veteran's eFolder and an exam request will not be found in documents.

Veteran Documents - Showing 1-10 of 63 documents				Last Opened	Show/Hide Columns	Save Preferences
<input type="checkbox"/>	Receipt Date	Document Type	Subject	System Source	Source Cor	
<input type="checkbox"/>	08/23/2022	Automated Review Summary Document 		IBM		



Examination Request on Multi Issue Claims

- When an exam is deemed necessary for this inventory type, the draft exam request created through Automated Decision Support technology is located under the **Exams** chevron within the **Claim Details Screen**. Review the exam request and make any edits if necessary (to include adding exam requests for non-automation eligible contentions if warranted). Follow the current guidance on submitting exam requests.

EXPAND CLAIM DETAILS

Contentions > Development > Letters > Tracked Items > **Exams** > Claim Notes > Record Research Requests

Exam Scheduling Request Summary

Showing 1 - 1 of 1

Create New Request

Scheduling Request Type	Status	Date Submitted	Date Last Updated	VHA/Vendor	Locked	Actions
Exam Request	DRAFT	N/A	08/23/2022 11:21 AM			Actions Pre Edit Request

Items Per Page 10





Deferrals on Automated Decision Support Claims



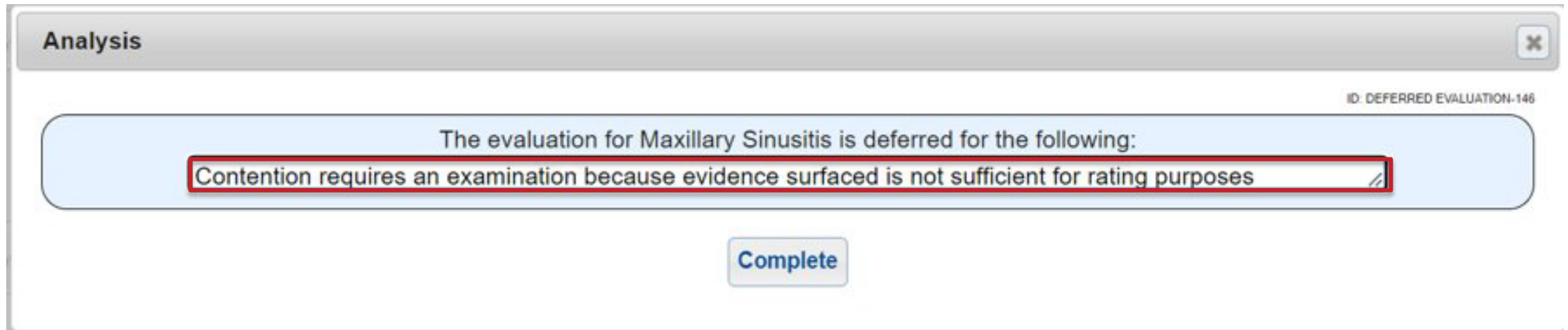
Creating a Deferral on Automated Decision Support Claim

- When doing a partial rating with a deferral on a contention associated with an ADS claim, users need to create a deferral in VBMS-R **and** VBMS Core.
 - VBMS-R: Provides explanation to Veteran and Quality on why the contention is being deferred
 - VBMS-Core: Necessary for capturing data on ADS claims



Creating a Deferral in VBMS-R on Automated Decision Support Claim

- When deferring a contention associated with an ADS claim in VBMS-R, enter one of the following deferral reasons on the Analysis Screen
 - Contention requires an examination because evidence surfaced is not sufficient for rating purposes
 - Contention requires additional development



The screenshot shows a software interface window titled "Analysis" with a close button (X) in the top right corner. In the top right of the window, the text "ID: DEFERRED EVALUATION-146" is visible. The main content area contains a light blue rounded rectangle with the text "The evaluation for Maxillary Sinusitis is deferred for the following:". Below this text is a text input field with a red border containing the text "Contention requires an examination because evidence surfaced is not sufficient for rating purposes". At the bottom center of the window is a button labeled "Complete".

Creating a Deferral in VBMS Core on Automated Decision Support Claim

- Once the partial rating is completed in VBMS Rating, navigate to VBMS Core to initiate the deferral. If the claim is deferred for an examination, select **Exam** as the Primary Reason and **Examination Needed** as the Detailed Reason.
- Using this deferral reason is important for data purposes because it is the only reason that links a contention to the deferral.



The screenshot shows a form titled "Initiate Deferral". It contains two dropdown menus. The first dropdown is labeled "* Primary Reason" and has "Exam" selected. The second dropdown is labeled "* Detailed Reason" and has "Examination Needed" selected. The entire form area is enclosed in a red rectangular border.

Creating a Deferral in VBMS Core on Automated Decision Support Claim

- Select the appropriate contention and enter "Automation exploration contention requires an examination because evidence surfaced is not sufficient for rating purposes" in the Additional Comments field. Once completed, finalize the deferral by selecting Save.

Contention(s)

Select or search a contention in the list...

✖ Maxillary Sinusitis

Supporting Documentation

Add Document(s)

There are no documents selected.

Additional Comments

Automation exploration contention requires an examination because evidence surfaced is not sufficient for rating purposes

Remaining Characters: 916

Save Clear

Creating a Deferral in VBMS Core on Automated Decision Support Claim

- If the claim is deferred for additional development, select Evidence as the Primary Reason and Missed Development as the Detailed Reason.



The screenshot shows a form titled "Initiate Deferral". It contains two dropdown menus. The first dropdown menu is labeled "* Primary Reason" and has "Evidence" selected. The second dropdown menu is labeled "* Detailed Reason" and has "Missed Development" selected. A red rectangular box highlights both dropdown menus.

Creating a Deferral in VBMS Core on Automated Decision Support Claim

- Select the appropriate Contention and enter one of the following deferral reasons in the Additional Comments field:
 - Automation exploration contention is RFD, but deferred due to current partial rating guidance and non-automation eligible contention(s) need additional development
 - Automation exploration contention requires additional development

Contention(s)

Select or search a contention in the list...

✖ Maxillary Sinusitis

Supporting Documentation

Add Document(s)

There are no documents selected.

Additional Comments

Automation exploration contention is RFD, but deferred due to current partial rating guidance and non-automation eligible contention(s) need additional development

Remaining Characters: 916

Save Clear

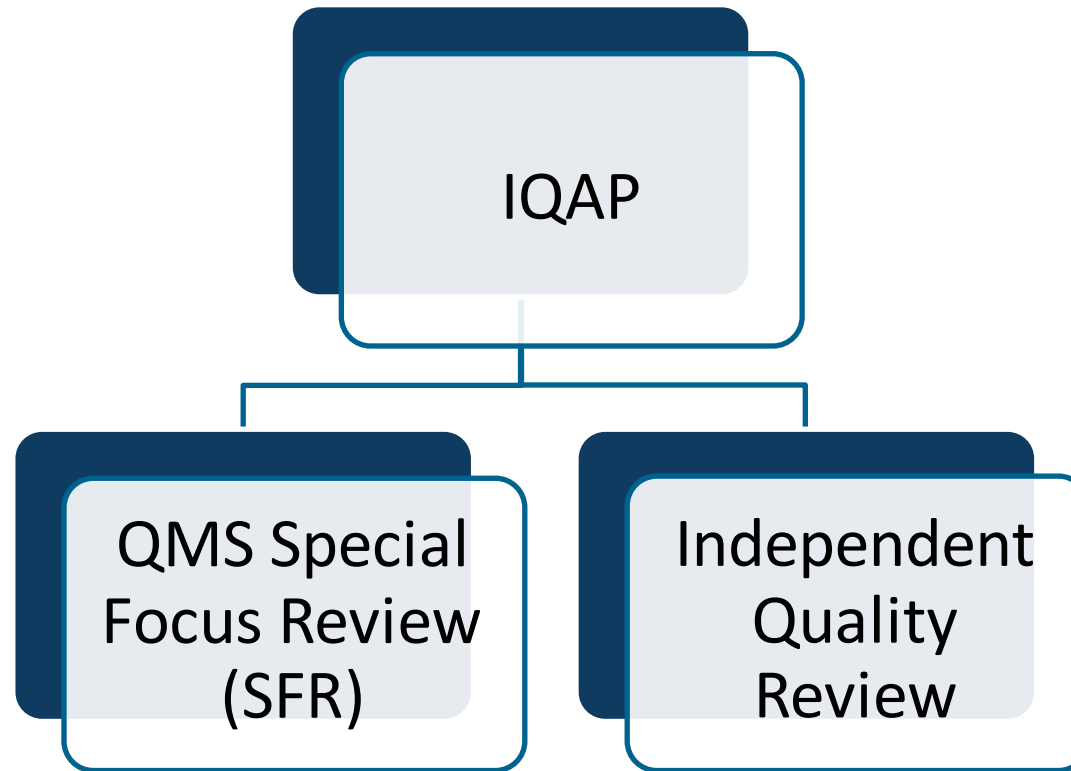


Quality Assurance Approach



Interim Quality Assurance Plan (IQAP)

- Quality assurance for Automated Decision Support is currently following a tiered Interim Quality Assurance plan (IQAP)



QMS Special Focus Review (SFR)

- The QMS SFR looks specifically at Automated Decision Support outputs for completeness
 - ✓ *If the ARSD is complete and contains the correct information*
 - ✓ *Whether a VA examination was scheduled appropriately*
- SFRs are errors called on Automated Decision Support and not tied to any individual user



Independent Quality Review (IQR)

- The IQAP IQR is considered the “apples to apples approach”



- Focuses on pulling random complete rating decisions for review to determine if Automated Decision Support is causing quality in rating decisions to increase or decrease





Helpful Tips and Tricks and Resources



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Helpful Tips and Tricks

- The ARSD can be used as part of evidence review, but users will still need to review all pertinent pieces of evidence and note it within the Rating Decision.
- When establishing local routing rules, have a clear understanding of Prior Assignment and Avoidable Deferral Routing
- It is helpful to base local routing rules off Automated Decision Support Special Issues:
 - Rapid RFD
 - Automated APC



Helpful Tips and Tricks

- Automated Decision Support claims will either be sent RFD or an examination will be ordered

If	Then
The claim has a Rapid RFD Special Issue	A contention has gone through Automated Decision Support
The claim has a Rapid RFD and Automated APC Special Issue	One or more of the contentions on a multi-issue claim has gone through Automated Decision Support
Claim for Increase with an Observation Date on the ARSD within a year of the DOC or ITF	Claim automatically is sent RFD
Any information in the Scheduling section of the ARSD for a new claim with a presumptive diagnostic code	Claim automatically is sent RFD
Claim for Increase when none of the dates on the ARSD falls within a year of the DOC or ITF	An examination is ordered
If the Scheduling section of the ARSD is empty	An examination is ordered



Questions?



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